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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/597,299	08/12/2008	Hermann Monstadt	EV3N.01 INP	8939

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KNOBBE, MARTENS, OLSON & BEAR, LLP  
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EXAMINER
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MENDOZA, MICHAEL G

ART UNIT	PAPER NUMBER
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3734

NOTIFICATION DATE	DELIVERY MODE
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05/27/2011

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

JCARTEE@KMOB.COM  
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<b>Office Action Summary</b>	<b>Application No.</b> 10/597,299	<b>Applicant(s)</b> MONSTADT, HERMANN	
	<b>Examiner</b> MICHAEL MENDOZA	<b>Art Unit</b> 3734	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 06 May 2011.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                       | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>5/6/11</u> .  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 5/6/2011 has been entered.

### ***Response to Arguments***

2. Applicant's arguments filed 5/6/2011 have been fully considered but they are not persuasive.

3. The applicant argues that Bashiri et al. does not teach the limitation of “at least one electrolytically corrodible severance element, with at least one stabilization helix being arranged between the at least one electrolytically corrodible severance element and the at least one occlusion helix.” The examiner disagrees. At least one embodiment of Bashiri et al. teaches the recited limitation. As seen in fig. 9, Bashiri et al. shows at least one occlusion helix (107); a securing means (137); and at least one electrolytically corrodible severance element (109), with at least one stabilization helix (121) being arranged between the at least one electrolytically corrodible severance element (109) and the at least one occlusion helix (107).

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4. Applicant's arguments, see page 6 of the arguments, filed 5/6/2011, with respect to the 35 U.S.C. 112 rejection of claims 1-23 have been fully considered and are persuasive. The 35 U.S.C. 112 rejection of claims 1-23 has been withdrawn.

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 1-17 and 21-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bashiri et al. 6165178 in view of Aganon et al. 7166122.

7. Bashiri et al. teaches a device comprising an insertion aid (102), at least one occlusion helix (107), the at least one occlusion helix comprising a longitudinally-oriented lumen (see figs.), a securing means (137) extending through the lumen; at least one electrolytically corrodible severance element (109), with at least one stabilization helix (121) being arranged between severance element (109) and occlusion helix (107), characterized in that the stabilization helix (121) being connected with the occlusion helix (107) by an electrically isolating adhesion layer (123+131) such that the occlusion helix (107) becomes isolated from voltage when an electrical voltage is applied to the severance element (109). It should be noted that Bashiri et al. fail to specifically teach wherein the securing means extends through the lumen to a distal front section of the at least one occlusion helix.

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8. Aganon et al. teaches a device with a common securing means extending through a lumen to a distal front section of the at least one occlusion helix (fig. 1) for preventing unwanted stretch through the device during positioning. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the device of Bashiri et al. in view of Aganon et al. to include securing means extending through a lumen to a distal front section of the at least one occlusion helix to prevent stretching through the entire device for proper positioning.

9. Aganon et al. also teaches the limitation of wherein the at least one securing means is connected to the distal front section of the at least one occlusion helix with a distally electrically isolating distal adhesion layer (the distal cap 107 is made of thermoplastics).

10. Bashiri/Aganon teaches the device according to claim 1, wherein the stabilization helix (107) comprises an electrically isolating coating (139); and wherein a securing means (137) extends through the lumen of the occlusion helix (107); wherein the securing means consists of a material having shape-memory properties (nitinol, col. 8, lines 56-col. 9 line 45, Aganon); wherein the securing means (137) is configured to transform and assume a previously impressed structure configuration when placed into the blood vessel or body cavity (definition of shape-memory); wherein the securing means (137) consists of Nitinol (col. 9, lines 14-25); wherein at least one securing means extends from the stabilization helix to the distal front section of the at least one occlusion helix; wherein the at least one securing means is connected with the distal front section of the at least one occlusion helix via an electrically isolation distal

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adhesion layer configured to isolate the occlusion helix from an electrical voltage applied to the severance element; wherein the securing means is provided with an electrically isolating coating (col. 15, lines 42-46 Aganon); wherein the at least one occlusion helix comprises an inner side with an electrically isolating coating (col. 8, lines 4-12, Aganon); wherein the at least one occlusion helix is provided with a plurality of spaced electrolytically corrodible severance elements (see figs., Aganon); further comprising a plurality of spaced occlusion helices with an electrolytically corrodible severance element arranged between each of the individual spaced occlusion helices (fig. 6, 312(1)-312(3), Aganon); further comprising a securing means arranged in a segment of the at least one occlusion helix located between the plurality of spaced electrolytically corrodible severance elements (fig. 6, 308(1)-308(4), Aganon); wherein at least one of the securing means extend from one stabilization helix connected by a severance element to the next distally located stabilization helix (fig. 6, Aganon); wherein at least one of the securing means extends from one severance element to the next distally located severance element (fig. 6 Aganon); wherein the plurality of spaced electrolytically corrodible severance elements are connected with each other so as to be electrically conductive via the securing means extending through the lumen of the at least one occlusion helix (a conductive filler can be used conductive connect the securing means); wherein the electrically isolating adhesion layer comprises an acrylate adhesive (polyvinylchloride, col. 15, lines 42-46, Aganon); wherein the occlusion helices comprise the material selected from the group consisting of platinum, a platinum alloy, and a platinum-iridium alloy (col. 7, lines 61-65, Aganon); wherein the insertion aid is a

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guide wire (col. 2, lines 14-19); and wherein the device is a micro-catheter (col. 2, lines 35-38).

11. Claim 19 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bashiri et al. in view of Aganon et al. as applied to claim 1 above, and further in view of Monstdt et al. 7323000.

12. Bashiri/Aganon teaches the device according to claim 1. It should be noted that Bashiri/Aganon fails to teach wherein the at least one electrolytically corrodible severance element comprises a steel alloy material. Both Bashiri and Aganon teach a metal link the is dissolved through electrolysis.

13. Monstdt et al. teaches a common link using metals including a steel alloy material (col. 4, lines 59-65). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the device of Bashiri/Aganon in view of Monstdt et al. to make the link with the metal described including steel alloy material as a matter of mere design choice since the are all alternatives for each other.

14. Bashiri/Aganon/Monstdt teaches the device according to claim 1 wherein the at least one electrolytically corrodible severance element is pre-corroded (col. 5, lines 63-66).

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHAEL MENDOZA whose telephone number is

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(571)272-4698. The examiner can normally be reached on Mon.-Fri. 9:00 a.m. - 5:00 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Jackson can be reached on (571) 272-4697. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/M. M./  
Examiner, Art Unit 3734

/Gary Jackson/  
Supervisory Patent Examiner, Art Unit 3734  
May 21, 2011